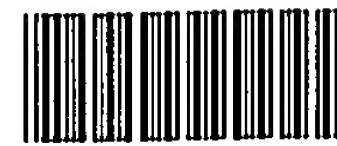


Attorney Docket No. 3001 P 015



26851

PATENT TRADEMARK OFFICE

PATENT

GAU-2165

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Continuation Patent Application of
Kenneth Kiron and Kevin Bander

Application No. 09/840,583
Confirmation No. 4046
Filed: April 23, 2001

For: Open End Mutual Fund Securitization Process



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) Group Art Unit: 2165
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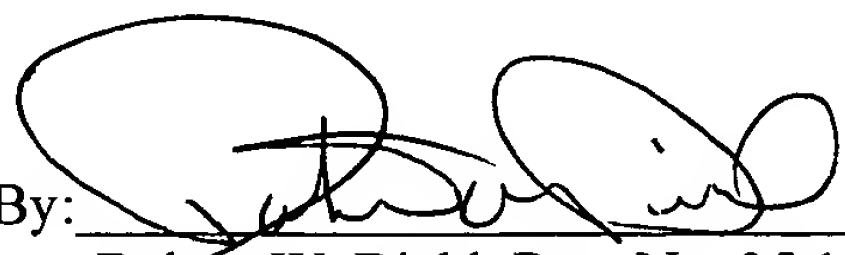
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Since this Information Disclosure Statement is being filed within three months of the filing date of the Application or before the mailing date of a First Office Action on the merits, whichever event occurs last (37 C.F.R. § 1.97(b)), no certification or fee is due. The Commissioner is hereby authorized to charge any deficiency in fees to Deposit Account No. 23-0280. A duplicate copy of this paper is enclosed for that purpose.

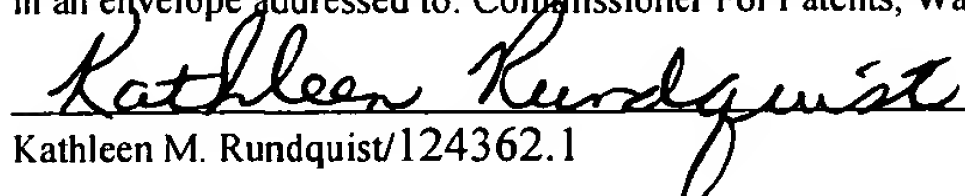
Respectfully submitted,

Dated: Aug 22, 2001

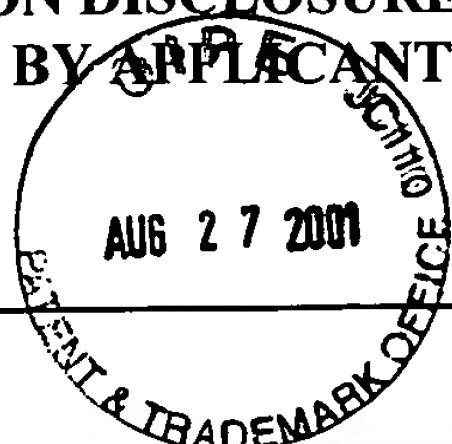
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Kathleen M. Rundquist/124362.1

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
PTO-1449



W&W File No.: 3001 P 015
Application No.: 09/840,583
Applicant: Kiron et al.
Filing Date: April 23, 2001
Art Unit: 2165

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